

Privacy & Data Retention Policy

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing and usage of personal information. It also requires us to tell you how we collect, use, share, and protect your personal information. Please read this notice carefully to understand what we do

Website Usage

We are committed to protecting your privacy during your visits to our website and recognize our responsibility to hold your information securely and confidentially. You can visit our website without telling us who you are or revealing any information about yourself. We measure and analyse non-identifying, aggregate usage data in order to administer the site, and to constantly improve the quality of our service and site performance. We collect personal information when you contact us through our website. We generally let you know at the time of collection how we will use that personal information. We may use the personal information you provide, or which we collect via this website, for the purpose of providing more relevant content to you. We also work with third parties to evaluate the effectiveness of our advertising and promotions on other websites.

By using our website, we retain information about your location and website usage. This information will be deleted automatically after 14 months.

Types of Nonpublic Personal Information We Collect

We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your Social Security Number, Date of Birth, Banking Information and Financial Account Numbers and/or Balances, Sources of Income, or other Information. When you are no longer our customer, we may continue to use your information only as described in this notice.

Reasons We Can Share Personal Information

All Investment Advisors may need to use personal information to run their everyday business. In the section below, we list the reasons that we may use your personal information for:

- For everyday business purposes – such as to process your transactions, maintain your account(s), or respond to court orders and legal investigations, or report to credit bureaus
- For our marketing – to offer our products and services to you.
- For joint marketing with other financial companies; or
- For affiliates' everyday business purposes – information about client transactions and experience.

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Can You Limit Our Sharing?

In order to service you, we cannot limit the sharing of any of the reasons given above. Federal law gives you the right to limit only the following, which we are not engaging in:

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

Please note: If you are a new customer or new prospective customer, we may begin processing your information on the day that we start engaging in conversation about financial planning. When you are no longer our customer, we may continue to use your information as described in this notice. However, you can contact us at any time at info@dunhillfinancial.com to limit the processing of your information.

Any personal information that is not covered by a client agreement and that is retained through the usage of our website or the attendance of our seminars will be removed upon request. You can at any time contact us to request the deletion of your information.

How Do We Protect Our Current and Former Clients' Information?

To protect your personal information from unauthorized access and use, we use security measures that comply with US federal law and Europe's GDPR, including computer safeguards and secured files and offices.

How Do We Collect Your Personal Information?

We collect your personal information, for example, when you

- Open an account
- Deposit or withdraw funds from your account
- Provide information to our financial dashboard

US Federal Law, EU's GDPR & the California Privacy Rights Act of 2020

US Federal Law, the EU's GDPR and the California Privacy Rights Act of 2020 allows you the right to access and limit the processing of your personal information, as well as being forgotten.

According to SEC, FCA and MiFID II regulations, we have to retain any personal information indefinitely that is or was covered by a client agreement. You can, however, request the restriction of your data processing as well as the access to your data.

